

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT**

**Applicant:** Blevins et al.

**For:** *System and Method for Supporting XA 2-phase Commit Protocols with a Loosely Coupled Clustered Database Server*

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

Applicant is aware of the following references listed below, copies of which are enclosed:

**U.S. PATENTS**

5,668,943  
5,790,788  
5,793,962  
5,805,786  
5,896,503  
6,014,669  
2003/0018732  
2003/0023898

**FOREIGN PATENTS**

JP6202996

**LITERATURE**

Hui-I Hsiao et al., "DLFM: A Transactional Resource Manager," IBM Almaden Research Center, 2000, pgs. 518-528.

C. Mohan et al., "Method for Distributed Transaction Commit and Recovery Using Byzantine Agreement With Clusters of Processors," IBM San Jose Research Laboratory, 1983, pgs. 89-103.

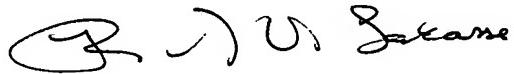
Liba Svobodova, "File Servers for Network-Based Distributed Systems, *Computing Surveys*, V16, N4, December 1984, pgs.353-372.

"Raj Tewari, "Advanced Database Systems," Springer-Verlag, New York, 1993, pgs. 277-287.

Yan Wang et al., "A Mobile Agent Based Protocol for Distributed Databases Access," *IEEE*, 2000, pgs. 2028-2033.

The above-listed references are, in the belief and opinion of the undersigned, the closest art of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

Respectfully submitted  
By Applicant's Representative,



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<b>NOTICE OF REFERENCES CITED</b>		<b>TITLE:</b> <i>System and Method for Supporting XA 2-phase Commit Protocols with a Loosely Coupled Clustered Database Server</i>		
		<b>APPLICANT(S):</b> Blevins et al.		
		<b>DOCKET NUMBER:</b> SVL920030072US1		

U.S. PATENT DOCUMENTS						
INIT.	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	5,668,943	9/16/1997	Clement Attanasio et al.	395	182.05	5/24/1996
	5,790,788	8/04/1998	Peter Badovinatz et al.	395	200.31	7/23/1996
	5,793,962	8/11/1998	Peter Badovinatz et al.	395	200.31	4/30/1996
	5,805,786	9/08/1998	Peter Badovinatz et al.	395	182.02	7/23/1996
	5,896,503	4/20/1999	Peter Badovinatz et al.	395	200.31	7/23/1996
	6,014,669	1/11/2000	Gregory Slaughter et al.	707	10	10/21/1997
	2003/0018732	1/23/2003	Dean Jacobs et al.	709	208	10/11/2001
	2003/0023898	1/30/2003	Dean Jacobs et al.	714	15	10/11/2001

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INIT.	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	JP6202996	7/22/1994	Japan	Roger Brockmeyer et al.		

OTHER REFERENCES	
INIT.	(Include name of the author, title of article, title of publication, date, pertinent pages, volume-issue, publisher city and/or country where published, etc.)
	Hui-I Hsiao et al., "DLFM: A Transactional Resource Manager," IBM Almaden Research Center, 2000, pgs. 518-528.
	C. Mohan et al., "Method for Distributed Transaction Commit and Recovery Using Byzantine Agreement With Clusters of Processors," IBM San Jose Research Laboratory, 1983, pgs. 89-103.
	Liba Svobodova, "File Servers for Network-Based Distributed Systems, <i>Computing Surveys</i> , V16, N4, December 1984, pgs.353-372.
	"Raj Tewari, "Advanced Database Systems," Springer-Verlag, New York, 1993, pgs. 277-287.
	Yan Wang et al., "A Mobile Agent Based Protocol for Distributed Databases Access," <i>IEEE</i> , 2000, pgs. 2028-2033.

EXAMINER \_\_\_\_\_ DATE \_\_\_\_\_